



Bank of  
Melbourne

# Customer verification form – Trusts & SMSFs.

All fields are mandatory, unless otherwise specified.

## 1. Document Checklist.

Prior to completing this form, please review this section and ensure you have all required documents ready and obtained the necessary certifications (listed below).

### Documents required

☐ Completed Customer Verification form – Trusts & SMSFs (this form).

#### Who needs to complete

1. Individual trustee.
2. Authorised person of the corporate trustee.
  - a) Director of corporate Trustee b) Secretary of corporate trustee

### Certified ID Documents

☐ ONE Primary Photographic Identification Document

OR

☐ ONE Primary Non-Photographic Identification Document

AND

☐ ONE Secondary Identification Document

#### Who needs to provide Certified ID Documents

- Each Beneficial Owner as nominated on this form
- The Individual (if not a Beneficial Owner) who signs the Customer verification form.

#### Acceptable documents

- Valid Australian driver's licence
- Medicare card
- Australian passport
- Australian birth certificate
- Foreign passport issued by a foreign government

## 1. Document Checklist (continued).

Please provide a certified copy of the following verification documents:

☐ Trust Deed

AND

☐ Deeds of Amendment (if applicable)

### Example Certified Copy of verification document.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Trust Deed</b></p> <p>This deed made the 1 March 2005</p> <p>Parties</p> <p><b>Name of Trust:</b> The Smith Trust</p> <p><b>Address of Trust:</b> 123 Sample Street, Adelaide, SA, 5000</p> <p><b>Trust ABN:</b> 123 456 789 01</p> <p><b>Trust type:</b> Discretionary trust</p> <p><b>Beneficiaries:</b></p> <ol style="list-style-type: none"><li>1. Frankie Charlie</li><li>2. Sam Jones</li><li>3. Bhavja Kumar</li><li>4. Paul Smith</li></ol> <p>Hereinafter collectively referred to as "The Trustees"</p> <p>Executed as a deed 1 March 2005</p> <p><b>Signed, sealed and delivered by:</b>  Frankie Charlie</p> <p><b>Witness signature:</b> </p> <p><b>Witness name:</b> Chidi Abara</p> | <p>I, John Sample, as a Medical Practitioner, certify that this Trust Deed is a true copy of the original.</p> <p></p> <p><b>1 February 2023</b></p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Trust Deed certified and signed by Medical Practitioner.

## 2. Steps required.

**Step 1:** Ensure all relevant sections of the form are completed and the customer declaration is signed. All fields are mandatory, unless specified otherwise.

**Step 2:** Attach all certified copies of supporting documents

**Step 3:** Return this completed form and certified copies of supporting documents as per page 1 by email or post.

**Email – [businessIDBOM@bankofmelbourne.com.au](mailto:businessIDBOM@bankofmelbourne.com.au)**

If sending via email:

- Please include "REF: (your reference number)" in the subject line to help us process your request efficiently.
- Important: For your security, we can only accept documents sent from the email address currently associated with your customer profile.

OR

**Post –** If you are located in Australia, please send via Reply Paid to:

**Business Identification Team**  
**Reply Paid 91348**  
**SYDNEY NSW 2001**

No stamp is required

If you are located outside Australia, please pay for postage and reply to:

**Business Identification Team**  
**GPO Box 1806**  
**SYDNEY NSW 2001**  
**Australia**

If you have any queries, please call our dedicated Business Identification Team on **1800 080 702** then select **option 2**, from anywhere in Australia (or **+61 2 9155 7522** select **option 1** then **option 2**, if calling internationally), 8am – 6:45pm AEST, Monday to Friday.

### 3. Trust details.

Reference number – You'll find this in the identification correspondence (email or letter) sent by the Bank

Full name of the Trust

Are there any business, trading or other names of the Trust?

ABN of the Trust (if applicable)

Full address of the principal place of business of the Trust (please use a street address not a PO Box)

Street

Suburb

State

Postcode

#### Main activity of the business

Please provide a brief description of the main activity of the business (for example: Superannuation fund, we buy shares in our Trust for our family, we buy houses to rent out to tenants.)

Country in which the Trust was established

Trust's contact email address

#### Name of Trustee 1

Full legal name (Individual or Entity)

#### Name of Trustee 2 (if applicable)

Full legal name (Individual or Entity)

#### Name of Trustee 3 (if applicable)

Full legal name (Individual or Entity)

#### Name of Trustee 4 (if applicable)

Full legal name (Individual or Entity)

#### Name of Trustee 5 (if applicable)

Full legal name (Individual or Entity)

3. Trust details (continued).

Trust type (Please select one from the list below)

- ☐ Unit/fixed
- ☐ Testamentary
- ☐ Discretionary (including family Trust)
- ☐ Unregistered Managed Investment Scheme
- ☐ Trust other than a Standard Trust
- ☐ Registered Managed Investment Scheme

Australian Registered Scheme Number (ARSN)

- ☐ Regulated Trust

Name of the Trust regulator and the registration/licensing details (e.g. registration number, or ABN for a regulated SMSF)

- ☐ Government Superannuation Fund

Name of the legislation establishing the fund

- ☐ Wholesale Unregistered Managed Investment Scheme

Is the Trust a **Managed Investment Scheme** that is not registered by ASIC, and meets the following criteria:

- ☐ Yes

☐ No
- Only has wholesale clients; and
  - Does not make small scale offerings to which section 1012E of the Corporations Act 2001 applies

4. Foreign Tax Residency.

Is the Trust a tax resident of any country outside of Australia?

- ☐ Yes

Please indicate below the country(ies) outside of Australia in which the Trust is a resident for tax purposes and each country's associated Tax Identification Number (TIN)\* (Min 1 – Max 5):

|   | Country | Foreign TIN |
|---|---------|-------------|
| 1 |         |             |
| 2 |         |             |
| 3 |         |             |
| 4 |         |             |
| 5 |         |             |

- ☐ No

Go to next question

\* A Foreign TIN is an identifying number or equivalent issued by the Trust's country of tax residency that is used for tax purposes. If the Trust is a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

## 5. Purpose of Business Relationship/Source of funds/Source of wealth.

To meet regulatory requirements, Bank of Melbourne must keep all customer information accurate and current and is required to collect the following details.

### Purpose of business relationship (please select one or more options)

This refers to your reasons for engaging with us to obtain products and services. Customers may have multiple reasons for dealing with us. Please indicate **all** your reasons.

- |                                        |                                               |                                                |
|----------------------------------------|-----------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Transactional | <input type="checkbox"/> Wealth               | <input type="checkbox"/> Correspondent banking |
| <input type="checkbox"/> Savings       | <input type="checkbox"/> Short-term borrowing | <input type="checkbox"/> Financial markets     |
| <input type="checkbox"/> Protection    | <input type="checkbox"/> Long-term borrowing  |                                                |

### Source of funds (please select one or more options)

This refers to the origin of the funds that are the subject of the business relationship between you and us. Many customers have multiple sources of funds. Please indicate **all** your sources of funds.

- |                                                              |                                                         |                                                                |
|--------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------------|
| <input type="checkbox"/> Commission                          | <input type="checkbox"/> Tax refund                     | <input type="checkbox"/> External investment/capital Injection |
| <input type="checkbox"/> Bonus                               | <input type="checkbox"/> Gift/donation                  | <input type="checkbox"/> Mergers and acquisitions              |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Government grant               | <input type="checkbox"/> Controlled money account              |
| <input type="checkbox"/> Loan                                | <input type="checkbox"/> Business income/earnings       | <input type="checkbox"/> Liquidation of assets                 |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Investment income/earnings     | <input type="checkbox"/> Insurance payment                     |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Corporate investments earnings | <input type="checkbox"/> Compensation payment                  |
| <input type="checkbox"/> Additional sources (please specify) |                                                         |                                                                |

### Source of wealth (please select one or more options)

This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate **all** your sources of wealth

- |                                                              |                                                         |                                                    |
|--------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Gift/donation                  | <input type="checkbox"/> Owns real estate/property |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Business income/earnings       | <input type="checkbox"/> Mergers and acquisitions  |
| <input type="checkbox"/> Insurance payment                   | <input type="checkbox"/> Investment income/earnings     | <input type="checkbox"/> Controlled money account  |
| <input type="checkbox"/> Liquidation of assets               | <input type="checkbox"/> Corporate investments earnings | <input type="checkbox"/> Compensation payment      |
| <input type="checkbox"/> Sale of assets                      |                                                         |                                                    |
| <input type="checkbox"/> Additional sources (please specify) |                                                         |                                                    |

## 6. Beneficial Owners.

(This section is not applicable if Trust Other than a standard Trust was selected above and details provided) (Max of 4 Beneficial Owners)

Full name (given name/s and family name), full address (not a PO Box) and date of birth of each INDIVIDUAL who is a Beneficial Owner. Please attach certified copies of identification documentation for all (Beneficial Owners) as per the Document Checklist.

### A Beneficial Owner of a Trust refers to:

- Generally, where the Trustee is an individual, the Trustee will be the Beneficial Owner.
- Where the Trustee is a non-individual, the person(s) that owns or controls the non-individual will be the Beneficial Owner(s). Where no Beneficial Owner(s) can be identified under the ownership or control arrangement, an individual who holds the power to appoint or remove the Trustees of the Trust, such as the Appointer of a Trust must be identified. This individual should be outlined in the Trust Deed.

6. Beneficial Owners (continued).

If there is not enough space, provide details on a separate sheet and attach to this form, labelling the section that the attachment relates to.

Beneficial Owner 1

Full name (as per identification document)

Other name(s) (if any)

Are you a Bank of Melbourne customer? If yes, then please provide your Customer Access Number  
(Your Customer Access Number can be found in your Bank of Melbourne Online Banking)

Date of birth

/ /

Employment type (e.g. Full-time, Part-time, Casual)

Occupation

Full address (not a PO Box)

Street

Suburb

State

Postcode

Mobile number

Email address

Is Beneficial Owner 1 a tax resident of any country outside of Australia?

☐ Yes ☒ Please indicate below the country(ies) in which Beneficial Owner 1 is a resident for tax purposes and each country's associated Tax Identification Number (TIN)\* (Min 1 – Max 5):

| Country | Foreign TIN |
|---------|-------------|
| 1       |             |
| 2       |             |
| 3       |             |
| 4       |             |
| 5       |             |

☐ No ☒ Go to next question

\* A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If the Trust is a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

## 6. Beneficial Owners (continued).

To meet regulatory requirements, Bank of Melbourne must keep all customer information accurate and current and is required to collect the following details.

### Purpose of business relationship (please select one or more options)

This refers to your reasons for engaging with us to obtain products and services. Customers may have multiple reasons for dealing with us. Please indicate **all** your reasons.

- |                                        |                                               |                                                |
|----------------------------------------|-----------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Transactional | <input type="checkbox"/> Wealth               | <input type="checkbox"/> Correspondent banking |
| <input type="checkbox"/> Savings       | <input type="checkbox"/> Short-term borrowing | <input type="checkbox"/> Financial markets     |
| <input type="checkbox"/> Protection    | <input type="checkbox"/> Long-term borrowing  |                                                |

### Source of funds (please select one or more options)

This refers to the origin of the funds that are the subject of the business relationship between you and us. Many customers have multiple sources of funds. Please indicate **all** your sources of funds.

- |                                                              |                                                     |                                               |
|--------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Salary/wages                        | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment |
| <input type="checkbox"/> Commission                          | <input type="checkbox"/> Redundancy                 | <input type="checkbox"/> Gift/donation        |
| <input type="checkbox"/> Bonus                               | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Windfall             |
| <input type="checkbox"/> Loan                                | <input type="checkbox"/> Government benefits        | <input type="checkbox"/> Tax refund           |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> Insurance payment    |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                               |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Business income/earnings   |                                               |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                               |

### Source of wealth (please select one or more options)

This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate **all** your sources of wealth

- |                                                              |                                                     |                                                    |
|--------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Government benefits                 | <input type="checkbox"/> Windfall                   | <input type="checkbox"/> Business income/earnings  |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment      |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Gift/donation             |
| <input type="checkbox"/> Redundancy                          | <input type="checkbox"/> Employment income/earnings | <input type="checkbox"/> Owns real estate/property |
| <input type="checkbox"/> Insurance payment                   | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> None                      |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                                    |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                                    |

6. Beneficial Owners (continued).

Beneficial Owner 2

Full name (as per identification document)

Other name(s) (if any)

Are you a Bank of Melbourne customer? If yes, then please provide your Customer Access Number  
(Your Customer Access Number can be found in your Bank of Melbourne Online Banking)

Date of birth

Employment type (e.g. Full-time, Part-time, Casual)

Occupation

Full address (not a PO Box)  
Street

Suburb State Postcode

Mobile number Email address

Is Beneficial Owner 1 a tax resident of any country outside of Australia?

☐ Yes ☒ Please indicate below the country(ies) in which Beneficial Owner 1 is a resident for tax purposes and each country's associated Tax Identification Number (TIN)\* (Min 1 – Max 5):

| Country | Foreign TIN |
|---------|-------------|
| 1       |             |
| 2       |             |
| 3       |             |
| 4       |             |
| 5       |             |

☐ No ☒ Go to next question

\* A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If the Trust is a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.



## 6. Beneficial Owners (continued).

To meet regulatory requirements, Bank of Melbourne must keep all customer information accurate and current and is required to collect the following details.

### Purpose of business relationship (please select one or more options)

This refers to your reasons for engaging with us to obtain products and services. Customers may have multiple reasons for dealing with us. Please indicate **all** your reasons.

- |                                        |                                               |                                                |
|----------------------------------------|-----------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Transactional | <input type="checkbox"/> Wealth               | <input type="checkbox"/> Correspondent banking |
| <input type="checkbox"/> Savings       | <input type="checkbox"/> Short-term borrowing | <input type="checkbox"/> Financial markets     |
| <input type="checkbox"/> Protection    | <input type="checkbox"/> Long-term borrowing  |                                                |

### Source of funds (please select one or more options)

This refers to the origin of the funds that are the subject of the business relationship between you and us. Many customers have multiple sources of funds. Please indicate **all** your sources of funds.

- |                                                              |                                                     |                                               |
|--------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Salary/wages                        | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment |
| <input type="checkbox"/> Commission                          | <input type="checkbox"/> Redundancy                 | <input type="checkbox"/> Gift/donation        |
| <input type="checkbox"/> Bonus                               | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Windfall             |
| <input type="checkbox"/> Loan                                | <input type="checkbox"/> Government benefits        | <input type="checkbox"/> Tax refund           |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> Insurance payment    |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                               |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Business income/earnings   |                                               |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                               |

### Source of wealth (please select one or more options)

This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate **all** your sources of wealth

- |                                                              |                                                     |                                                    |
|--------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Government benefits                 | <input type="checkbox"/> Windfall                   | <input type="checkbox"/> Business income/earnings  |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment      |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Gift/donation             |
| <input type="checkbox"/> Redundancy                          | <input type="checkbox"/> Employment income/earnings | <input type="checkbox"/> Owns real estate/property |
| <input type="checkbox"/> Insurance payment                   | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> None                      |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                                    |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                                    |

6. Beneficial Owners (continued).

Beneficial Owner 3

Full name (as per identification document)

Other name(s) (if any)

Are you a Bank of Melbourne customer? If yes, then please provide your Customer Access Number  
(Your Customer Access Number can be found in your Bank of Melbourne Online Banking)

Date of birth

/ /

Employment type (e.g. Full-time, Part-time, Casual)

Occupation

Full address (not a PO Box)

Street

Suburb

State

Postcode

Mobile number

Email address

Is Beneficial Owner 1 a tax resident of any country outside of Australia?

☐ Yes ☒ Please indicate below the country(ies) in which Beneficial Owner 1 is a resident for tax purposes  
and each country's associated Tax Identification Number (TIN)\* (Min 1 – Max 5):

| Country | Foreign TIN |
|---------|-------------|
| 1       |             |
| 2       |             |
| 3       |             |
| 4       |             |
| 5       |             |

☐ No ☒ Go to next question

\* A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If the Trust is a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

## 6. Beneficial Owners (continued).

To meet regulatory requirements, Bank of Melbourne must keep all customer information accurate and current and is required to collect the following details.

### Purpose of business relationship (please select one or more options)

This refers to your reasons for engaging with us to obtain products and services. Customers may have multiple reasons for dealing with us. Please indicate **all** your reasons.

- |                                        |                                               |                                                |
|----------------------------------------|-----------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Transactional | <input type="checkbox"/> Wealth               | <input type="checkbox"/> Correspondent banking |
| <input type="checkbox"/> Savings       | <input type="checkbox"/> Short-term borrowing | <input type="checkbox"/> Financial markets     |
| <input type="checkbox"/> Protection    | <input type="checkbox"/> Long-term borrowing  |                                                |

### Source of funds (please select one or more options)

This refers to the origin of the funds that are the subject of the business relationship between you and us. Many customers have multiple sources of funds. Please indicate **all** your sources of funds.

- |                                                              |                                                     |                                               |
|--------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Salary/wages                        | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment |
| <input type="checkbox"/> Commission                          | <input type="checkbox"/> Redundancy                 | <input type="checkbox"/> Gift/donation        |
| <input type="checkbox"/> Bonus                               | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Windfall             |
| <input type="checkbox"/> Loan                                | <input type="checkbox"/> Government benefits        | <input type="checkbox"/> Tax refund           |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> Insurance payment    |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                               |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Business income/earnings   |                                               |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                               |

### Source of wealth (please select one or more options)

This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate **all** your sources of wealth

- |                                                              |                                                     |                                                    |
|--------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Government benefits                 | <input type="checkbox"/> Windfall                   | <input type="checkbox"/> Business income/earnings  |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment      |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Gift/donation             |
| <input type="checkbox"/> Redundancy                          | <input type="checkbox"/> Employment income/earnings | <input type="checkbox"/> Owns real estate/property |
| <input type="checkbox"/> Insurance payment                   | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> None                      |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                                    |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                                    |

6. Beneficial Owners (continued).

Beneficial Owner 4

Full name (as per identification document)

Other name(s) (if any)

Are you a Bank of Melbourne customer? If yes, then please provide your Customer Access Number  
(Your Customer Access Number can be found in your Bank of Melbourne Online Banking)

Date of birth

/ /

Employment type (e.g. Full-time, Part-time, Casual)

Occupation

Full address (not a PO Box)

Street

Suburb

State

Postcode

Mobile number

Email address

Is Beneficial Owner 1 a tax resident of any country outside of Australia?

☐ Yes ☒ Please indicate below the country(ies) in which Beneficial Owner 1 is a resident for tax purposes  
and each country's associated Tax Identification Number (TIN)\* (Min 1 – Max 5):

| Country | Foreign TIN |
|---------|-------------|
| 1       |             |
| 2       |             |
| 3       |             |
| 4       |             |
| 5       |             |

☐ No ☒ Go to next question

\* A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If the Trust is a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

## 6. Beneficial Owners (continued).

To meet regulatory requirements, Bank of Melbourne must keep all customer information accurate and current and is required to collect the following details.

### Purpose of business relationship (please select one or more options)

This refers to your reasons for engaging with us to obtain products and services. Customers may have multiple reasons for dealing with us. Please indicate **all** your reasons.

- |                                        |                                               |                                                |
|----------------------------------------|-----------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Transactional | <input type="checkbox"/> Wealth               | <input type="checkbox"/> Correspondent banking |
| <input type="checkbox"/> Savings       | <input type="checkbox"/> Short-term borrowing | <input type="checkbox"/> Financial markets     |
| <input type="checkbox"/> Protection    | <input type="checkbox"/> Long-term borrowing  |                                                |

### Source of funds (please select one or more options)

This refers to the origin of the funds that are the subject of the business relationship between you and us. Many customers have multiple sources of funds. Please indicate **all** your sources of funds.

- |                                                              |                                                     |                                               |
|--------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Salary/wages                        | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment |
| <input type="checkbox"/> Commission                          | <input type="checkbox"/> Redundancy                 | <input type="checkbox"/> Gift/donation        |
| <input type="checkbox"/> Bonus                               | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Windfall             |
| <input type="checkbox"/> Loan                                | <input type="checkbox"/> Government benefits        | <input type="checkbox"/> Tax refund           |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> Insurance payment    |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                               |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Business income/earnings   |                                               |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                               |

### Source of wealth (please select one or more options)

This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate **all** your sources of wealth

- |                                                              |                                                     |                                                    |
|--------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Government benefits                 | <input type="checkbox"/> Windfall                   | <input type="checkbox"/> Business income/earnings  |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment      |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Gift/donation             |
| <input type="checkbox"/> Redundancy                          | <input type="checkbox"/> Employment income/earnings | <input type="checkbox"/> Owns real estate/property |
| <input type="checkbox"/> Insurance payment                   | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> None                      |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                                    |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                                    |

## 7. Foreign Tax Residency statement.

We are required to identify tax residents of a country(ies) other than Australia in order to meet account information reporting requirements under local and international laws.

If at any time after account opening, information in our possession suggests that you, the entity and/or any individual who holds ownership and/or control in the entity of 25% or more (Beneficial Owner/Controlling Person) may be a tax resident of a country(ies) other than Australia, you may be contacted to provide further information on your foreign tax status and/or the foreign tax status of the entity and/or any Beneficial Owners/Controlling Persons. Failure to respond may lead to certain reporting requirements applying to the account.

You certify that if at any time there is a change to the foreign tax status details for you, the entity and/or any controlling persons, you will inform the Bank. You also certify that if at any time there is a change of a controlling person/s in your entity, you will inform the Bank.

A Beneficial Owner/Controlling Person refers to the individual(s) that directly or indirectly owns a legal interest in the entity of 25% or more and/or exercises actual effective control over the entity, whether from an economic or other perspective such as through voting rights. In addition, in the case of a Trust, a Beneficial Owner/Controlling Person includes the settlor(s), Trustee(s), appointer(s), protector(s), beneficiary(ies) or classes of beneficiaries and in the case of an entity other than a Trust, the term includes persons in equivalent or similar positions.

## 8. Privacy Statement and consent request.

### Privacy Statement.

All personal information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at [bankofmelbourne.com.au/privacy/privacy-statement](https://bankofmelbourne.com.au/privacy/privacy-statement) or by calling us on **13 22 66**. Our Privacy Statement also provides information about how you can access and correct your personal information and make a complaint. You do not have to provide us with any personal information, but if you don't, we may not be able to continue to provide products or services to the customer for which you are a Trustee, partner, representative, Beneficial Owner, or controlling person.

### Consent Request.

You consent to Bank of Melbourne collecting and holding any sensitive information (such as health information or information about your racial or ethnic origin) which appears on certified copies of your identity documents. You will not be able to withdraw your consent to Bank of Melbourne holding this information after it has been provided because Bank of Melbourne is required to retain copies of identification documents under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*.

If you do not consent to Bank of Melbourne's collection of any such sensitive information, you may verify your identity in person at any Bank of Melbourne branch.

## 9. Declaration.

## Customer Declaration.

I acknowledge and declare that to the best of my knowledge, the information I have provided above is true and correct as at the date of this document. I have been duly appointed by the entity and authorised to sign for and on behalf of the entity. I understand that it is an offence to knowingly give false or misleading information or knowingly produce a false or misleading document under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*. I confirm that there have not been any changes or amendments to the certified document on and from the date on which it was certified.

Where I am providing personal information about another individual, I have made them aware:

- that I will be doing this; and
- that Bank of Melbourne will collect, use and share their personal information in accordance with its Privacy Statement available at [bankofmelbourne.com.au/privacy/privacy-statement](http://bankofmelbourne.com.au/privacy/privacy-statement)

**Where I am providing Bank of Melbourne with another person's sensitive information, I have obtained their consent** to sharing it with Bank of Melbourne and their consent to Bank of Melbourne collecting, using and disclosing their sensitive information in accordance with Bank of Melbourne's Privacy Statement.

Signature of authorised person



Position held (Individual Trustee, Director of Corporate Trustee, Secretary of Corporate Trustee)

Entity name

Date of signature

 /  / 

Full name (given name/s and family name)

Customer Access Number (if applicable)

Are you also a Beneficial Owner and have provided your details in Section 6?

☐ Yes

☐ No ➔ **Please continue completing Signatory details questions below**

**Signatory details** if you are not a Beneficial Owner

Full name (as per identification document)

Other names (if any)

Date of birth

 /  / 

Employment type (e.g. Full-time, Part-time, Casual)

Occupation

## 9. Declaration (continued).

Full address (not a PO Box)

Street

Suburb

State

Postcode




Mobile number

Email address



Are you a tax resident of any country outside of Australia?

☐ Yes  Please indicate below the country(ies) in which you are a resident for tax purposes and each country's associated Tax Identification Number (TIN)\* (Min 1 – Max 5):

|   | Country              | Foreign TIN          |
|---|----------------------|----------------------|
| 1 | <input type="text"/> | <input type="text"/> |
| 2 | <input type="text"/> | <input type="text"/> |
| 3 | <input type="text"/> | <input type="text"/> |
| 4 | <input type="text"/> | <input type="text"/> |
| 5 | <input type="text"/> | <input type="text"/> |

☐ No  **Go to next question**

\* A Foreign TIN is an identifying number or equivalent issued by the individual's country of tax residency that is used for tax purposes. If you're a tax resident in a jurisdiction that doesn't issue a TIN or equivalent, you'll need to provide evidence (which could include publicly available information) from an official authority written in English.

To meet regulatory requirements, Bank of Melbourne must keep all customer information accurate and current and is required to collect the following details.

**Purpose of business relationship (please select one or more options)**

This refers to your reasons for engaging with us to obtain products and services. Customers may have multiple reasons for dealing with us. Please indicate **all** your reasons.

- |                                        |                                               |                                                |
|----------------------------------------|-----------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> Transactional | <input type="checkbox"/> Wealth               | <input type="checkbox"/> Correspondent banking |
| <input type="checkbox"/> Savings       | <input type="checkbox"/> Short-term borrowing | <input type="checkbox"/> Financial markets     |
| <input type="checkbox"/> Protection    | <input type="checkbox"/> Long-term borrowing  |                                                |

**Source of funds (please select one or more options)**

This refers to the origin of the funds that are the subject of the business relationship between you and us. Many customers have multiple sources of funds. Please indicate **all** your sources of funds.

- |                                                              |                                                     |                                               |
|--------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Salary/wages                        | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment |
| <input type="checkbox"/> Commission                          | <input type="checkbox"/> Redundancy                 | <input type="checkbox"/> Gift/donation        |
| <input type="checkbox"/> Bonus                               | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Windfall             |
| <input type="checkbox"/> Loan                                | <input type="checkbox"/> Government benefits        | <input type="checkbox"/> Tax refund           |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> Insurance payment    |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                               |
| <input type="checkbox"/> Rental Income                       | <input type="checkbox"/> Business income/earnings   |                                               |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                               |



## 9. Declaration (continued).

### Source of wealth (please select one or more options)

This refers to the origin of your total net assets/total net worth. Many customers will have multiple sources of wealth. Please indicate **all** your sources of wealth.

- |                                                              |                                                     |                                                    |
|--------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Government benefits                 | <input type="checkbox"/> Windfall                   | <input type="checkbox"/> Business income/earnings  |
| <input type="checkbox"/> Business profits                    | <input type="checkbox"/> Inheritance                | <input type="checkbox"/> Compensation payment      |
| <input type="checkbox"/> Rental income                       | <input type="checkbox"/> Liquidation of assets      | <input type="checkbox"/> Gift/donation             |
| <input type="checkbox"/> Redundancy                          | <input type="checkbox"/> Employment income/earnings | <input type="checkbox"/> Owns real estate/property |
| <input type="checkbox"/> Insurance payment                   | <input type="checkbox"/> Superannuation/pension     | <input type="checkbox"/> None                      |
| <input type="checkbox"/> Sale of assets                      | <input type="checkbox"/> Investment income/earnings |                                                    |
| <input type="checkbox"/> Additional sources (please specify) |                                                     |                                                    |

### Bank use only.

#### Staff checklist:

- ☐ All mandatory sections of the CVF have been completed.
- ☐ Copies of individual ID have been attached, as required.
- ☐ Copies of verification documents for the business entity have been attached.
- ☐ All ID and verification documents have been certified.

Please forward all of the above to **[businessIDBOM@bankofmelbourne.com.au](mailto:businessIDBOM@bankofmelbourne.com.au)**. Please include "REF: (reference number)" in the subject line to help us process the request efficiently. If you have any questions contact the Business Identification Team on **1800 080 702** then select **option 2** between 8am – 6:45pm AEST Monday to Friday.